

15992 U.S. PTO
012704

**UTILITY
PATENT APPLICATION
TRANSMISSION**

Our Docket No.: A-70028-2/MSS/TJH Date: January 27, 2004

First Named Inventor: SENZAKI, Yoshihide

Title: MULTILAYER HIGH K DIELECTRIC FILMS
AND METHOD OF MAKING SAME

Express Mail No.: EV 182 097 831 US

CERTIFICATE OF MAIL (37 CFR 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: MAIL STOP PATENT APPLICATION, FEE, Commissioner for Patent, P.O. Box 1450, Alexandria, VA 22313-1450 on January 27, 2004.

Signed:

Laura Lee Mosier

ADDRESS TO:

Mail Stop Patent Application, FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

19270 U.S. PTO
10/766148
012704

APPLICATION ELEMENTS

1. ☒ Fee Calculation Sheet
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status
3. ☒ Specification Total Pages: 11
 - ☒ Descriptive title of the invention
 - ☒ Cross References to Related Applications
 - ☐ Statement Regarding Fed. Sponsored R&D
 - ☐ Reference to Microfiche Appendix
 - ☒ Background of the Invention
 - ☒ Brief Summary of the Invention
 - ☒ Brief Description of the Drawings
 - ☒ Detailed Description
 - ☒ Claims
 - ☒ Abstract of the Disclosure
4. ☒ Drawings (35 U.S.C. 113) Total Sheets: 4
5. ☐ Unexecuted Oath or Declaration Total Pages:
 - a. ☐ Newly Executed (original or copy)
 - b. ☐ Copy from a prior application (37 C.F.R. 1.63(d)) (for continuation/divisional with Box 19 completed)
 1. ☐ **DELETION OF INVENTORS**
Signed statement attached deleting inventor(s) named in the prior application (37 C.F.R. 1.63(d)(2) and 1.33(b))
6. ☐ Application Data Sheet (37.C.F.R. 1.76)

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission
(If applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper
 - c. ☐ Statement verifying identify of above copies

ACCOMPANYING APPLICATION PARTS


9. ☐ Certification Under 35 U.S.C. 122(b)(2)(B)(i)
10. ☐ Assignment Papers (cover sheet & document(s))
11. ☐ 37 C.F.R. 3.73(b) Submission
12. ☐ Power of Attorney
13. ☐ English Translation Document (if applicable)
14. ☐ Information Disclosure Statement
(with Copies of Citations as necessary)
15. ☐ Preliminary Amendment Total Pages:
16. ☒ Return Receipt Postcard
(Should be specifically itemized)
17. ☐ Certified Copy of Priority document(s)
(if foreign priority is claimed)
18. ☐ Other

19. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☒ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior Application No.: 10/056,625 filed January 25, 2002, which claims the benefit of U.S. Provisional Application No. 60/264,428 filed January 26, 2001

For continuation or divisional applications: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference

CORRESPONDENCE ADDRESS

| | | | |
|-----------|---|---|---------------------------|
| ATTY NAME | Tianjun Hou Reg. No. 51,821 | SIGNATURE:  | DATE: January 27, 2004 |
| ADDRESS | DORSEY & WHITNEY LLP Four Embarcadero Center, Suite 3400 San Francisco, California 94111-4187 | | Customer Number: 32940 |
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15992 U.S. PTO

APPLICATION FEE TRANSMITTAL SHEET (FY 2004)

Complete if Known

| | |
|----------------------|----------------------------|
| Application No. | to be assigned |
| Filing Date | January 27, 2004 |
| First Named Inventor | Yoshihide SENZAKI |
| Group Art Unit | 2811 |
| Examiner Name | IM, Junghwa M. |
| Atty. Docket Number | A-70028-2/MSS (468330-964) |

| METHOD OF PAYMENT (Check One) | | | | FEE CALCULATION (Continued) | | | |
|--|------------------|---|-----------------|-----------------------------|------------------|--|----------|
| 1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account No.: 50-2319 Deposit Account Name: <u>DORSEY & WHITNEY LLP</u> <input checked="" type="checkbox"/> Charge any additional fee required under 37 C.F.R. 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status (see 37 C.F.R. 1.27) | | | | 3. ADDITIONAL FEES | | | |
| 2. <input checked="" type="checkbox"/> Check Enclosed | | | | Large Entity Fee | Small Entity Fee | Fee Description | Fee paid |
| FEE CALCULATION | | | | 50 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1. BASIC FILING FEE | | | | 130 | 65 | Surcharge - Late nonprovisional filing fee or oath | |
| Large Entity Fee | Small Entity Fee | Fee Description | | 180 | 180 | Submission of IDS | |
| 160 | 80 | <input type="checkbox"/> Provisional Filing Fee | | 40 | 40 | Recording each patent assignment per property (times number of properties) | |
| 770 | 385 | <input type="checkbox"/> Utility Filing Fee | | | | | |
| 340 | 170 | <input type="checkbox"/> Design Filing Fee | | | | | |
| 770 | 385 | <input type="checkbox"/> Reissue Filing Fee | | | | | |
| Subtotal (1) | | | | 385.00 | | | |
| 2. EXTRA* CLAIM FEES | | | | 110 | 55 | Extension for reply within first month | |
| Number Claims | Prior | Extra | Fee from Below* | 420 | 210 | Extension for reply within second month | |
| Total | 12 | 20 | = x = | 950 | 475 | Extension for reply within third month | |
| Indep. | 2 | 3 | = x = | 1,480 | 740 | Extension for reply within fourth month | |
| Multiple Dependent Claims | | | = x = | 2010 | 1005 | Extension for reply within fifth month | |
| Subtotal (2) | | | | 770 | 385 | Submission After Final 1.129 | |
| *Calculation of Extra Claim Fees | | | | 330 | 165 | Notice of Appeal | |
| Large Entity Fee | Small Entity Fee | Fee Description | | 330 | 165 | Filing a brief in support of an appeal | |
| 18 | 9 | Claims in excess of 20 | | 290 | 145 | Request for oral hearing | |
| 86 | 43 | Independent claims in excess of 3 | | 110 | 55 | Terminal Disclaimer Fee | |
| 290 | 145 | Multiple dependent Claim | | 130 | 130 | Petitions to the Commissioner | |
| 86 | 43 | Reissue independent claims over original patent | | 50 | 50 | Petitions related to provisional applications | |
| 18 | 9 | Reissue claims in excess of 20 and over original patent | | | | | |
| | | | | 1,330 | 665 | Utility/Reissue Issue Fee (including advance copies) | |
| | | | | 480 | 240 | Design Issue Fee (inc. advance copies) | |
| | | | | 770 | 385 | Request for Continued Examination (RCE) | |
| | | | | 300 | 300 | Publication fee for early, voluntary, or normal publication | |
| | | | | 300 | 300 | Publication fee for re-publication | |
| | | | | 110 | 55 | Petition to Revive - unavoidable | |
| | | | | 1,330 | 665 | Petition to Revive - unintentional | |
| | | | | OTHER FEE (specify): | | | |
| | | | | Subtotal (3) | | | 385.00 |
| | | | | Total Amount of Payment: | | | 385.00 |

Submitted by:

| | | |
|-------------------------|---|-------------------------|
| Name: Tianjun Hou, Esq. | Reg. No.: 51,821 | Telephone: 650-494-8700 |
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| Signature: | Date: January 27, 2004 | |